

## The Free Fermion Gas and Electrons in Metals

• *The system:* Formally, we will treat a gas of  $N$  free fermions in thermal equilibrium at temperature  $T$  in a box of volume  $V$ . Physically, however, this can be used as a model for the conducting electrons inside a metal (for which the mutual interactions can often be neglected if we consider them as cancelled by the presence of the nuclei); in this case, the energies below are all to be considered as just representing the kinetic energies above the bottom of the conduction band.

• *Goal:* We want to study properties of the occupation number distribution  $\bar{N}(\epsilon_\alpha)$  as a function of energy, and use it to calculate the mean energy and heat capacity at low temperatures. If the gas is used to model conduction electrons in a metal, this  $C_V$  will be their contribution to the total value for the solid.

• *Setup:* For convenience, we will model the system using a grand canonical ensemble. Thus, in principle, the total particle number is not fixed. However, in the thermodynamic limit  $N$  is very strongly peaked around  $\bar{N}$ , so if we find  $\bar{N}(\beta, \mu)$  we can substitute the fixed  $N$  for  $\bar{N}$  in this expression and invert it to find  $\mu(\beta, N)$ , which can then be used to calculate other thermodynamic quantities.

• *Starting Point:* For fermions the mean occupation number in a single-particle state of energy  $\epsilon$  is given by the Fermi function  $\bar{N}_F(\epsilon)$  derived earlier, and for the density of states we use the same expression we used earlier for free massive bosons, using  $g_s = 2$  for the number of spin states of an electron,

$$\bar{N}_F(\epsilon) = \frac{1}{e^{(\epsilon-\mu)\beta} + 1} = \frac{1}{z^{-1}e^{\beta\epsilon} + 1}, \quad g(\epsilon) = g_s \frac{4\pi V}{(2\pi)^3} k^2 \frac{dk}{d\epsilon} = \frac{g_s V}{4\pi^2} \left( \frac{2m}{\hbar^2} \right)^{3/2} \sqrt{\epsilon}.$$

Notice that in the  $T \rightarrow \infty$  limit  $F(\epsilon)$  approximates the Maxwell-Boltzmann distribution, and at any  $T \neq 0$  the value of the Fermi function at  $\epsilon = \mu$  is  $\bar{N}_F(\mu) = \frac{1}{2}$ . Using these  $\bar{N}_F(\epsilon)$  and  $g(\epsilon)$  the starting point for thermodynamical calculations is then

$$\bar{N} = \sum_{\alpha} \bar{N}_{\alpha} \approx \int_0^{\infty} d\epsilon g(\epsilon) \bar{N}_F(\epsilon), \quad \bar{E} = \sum_{\alpha} \bar{N}_{\alpha} \epsilon_{\alpha} \approx \int_0^{\infty} d\epsilon g(\epsilon) \bar{N}_F(\epsilon) \epsilon.$$

## Zero-Temperature Quantities

• *Occupation-number distribution:* At  $T = 0$  the mean number of particles above in the single-particle state  $\alpha$  becomes a step function whose value equals 1 for  $\epsilon_{\alpha} < \mu$ , and 0 for  $\epsilon_{\alpha} > \mu$  (and by continuity we still set  $\bar{N}(\mu) = \frac{1}{2}$ ). Thus,  $\mu_0 = \mu(0)$  cannot be zero and we wish to find its value. Notice that, contrary to what happens in the case of bosons, in this case  $0 \leq \bar{N}_{\alpha} \leq 1$  for any  $\beta$  and  $z$ , so the value of  $z$  is now unrestricted.

• *Fermi energy and temperature:* At  $T = 0$  we can calculate exactly the sum for the mean number of particles as a function of  $\mu_0$ ; setting then  $\bar{N} = N$  gives an explicit expression for  $\mu_0$  in terms of  $N$ . For electrons, since up to  $\epsilon = \mu_0$  each state is occupied by exactly one electron,

$$\bar{N} = \int_0^{\mu_0} d\epsilon g(\epsilon) = \frac{2}{3} \frac{V m^{3/2} \mu_0^{3/2}}{\sqrt{2} \pi^2 \hbar^3}, \quad \text{or} \quad \mu_0 = \left( 3\pi^2 \frac{N}{V} \right)^{2/3} \frac{\hbar^2}{2m} =: \epsilon_F \quad (\text{the Fermi energy}).$$

From  $\bar{N}(\epsilon)$  we see that at  $T > T_F = \epsilon_F/k_B$  thermal fluctuations start populating energy levels above  $\epsilon_F = \mu_0$ .

• *Mean energy:* Using the Fermi energy, a similar calculation for the mean energy gives now

$$\bar{E} = \int_0^{\mu_0} d\epsilon \epsilon g(\epsilon) = \frac{3}{5} \mu_0 N, \quad \text{or} \quad \frac{\bar{E}}{N} =: \bar{\epsilon} = \frac{3}{5} \epsilon_F \quad (\text{Notice that } \bar{\epsilon} > \frac{1}{2} \epsilon_F).$$

## Small-Temperature Quantities

• *Useful integrals:* When evaluating the finite-temperature corrections for quantities such as  $\bar{N}$  and  $\bar{E}$ , we will need to calculate integrals of the following form, for some function  $K(\epsilon)$  (in practice,  $g(\epsilon)$  or  $\epsilon g(\epsilon)$ ):

$$I(\mu, T) = \int_0^{\infty} d\epsilon K(\epsilon) \bar{N}_F(\epsilon) = \int_0^{\infty} d\epsilon \frac{K(\epsilon)}{e^{(\epsilon-\mu)\beta} + 1}.$$

For low temperatures  $T \ll T_F = \epsilon_F/k_B$  we can evaluate  $I(\mu, T)$  as a Sommerfeld series expansion around  $T = 0$ , where  $I(\mu, 0) = \int_0^\mu d\epsilon K(\epsilon)$ . To proceed, introduce the variable  $x := \beta(\mu - \epsilon) \in (-\infty, \beta\mu)$  and write

$$\begin{aligned} I(\mu, T) &= -k_B T \left[ \int_{\beta\mu}^0 dx \frac{K(\mu - k_B T x)}{e^{-x} + 1} + \int_0^{-\infty} dx \frac{K(\mu - k_B T x)}{e^{-x} + 1} \right] \\ &= \int_0^\mu d\epsilon K(\epsilon) - k_B T \int_0^{\beta\mu} dx \frac{K(\mu - k_B T x)}{e^x + 1} + k_B T \int_0^\infty dx \frac{K(\mu + k_B T x)}{e^x + 1}, \end{aligned}$$

where we have separated the parts with  $x > 0$  and  $x < 0$ , used the identity  $1/(e^{-x} + 1) = 1 - 1/(e^x + 1)$  in the first term and replaced  $x \mapsto -x$  in the second one, and restored  $\epsilon$  in the first resulting integral. The second integral can be extended to  $+\infty$  with an excellent approximation as  $T \rightarrow 0$ . The second and third integrals then become similar, and expanding terms in powers of  $T$  (notice that  $d\mu/dT \neq 0$ ) we get

$$\begin{aligned} I(\mu, T) &= \int_0^{\mu_0} d\epsilon K(\epsilon) + (\mu - \mu_0) K(\mu_0) + \mathcal{O}(\mu - \mu_0)^2 + \\ &\quad + 2 K'(\mu_0) (k_B T)^2 \int_0^\infty \frac{x dx}{e^x + 1} + \frac{2}{3!} K'''(\mu_0) (k_B T)^4 \int_0^\infty \frac{x^3 dx}{e^x + 1} + \dots \end{aligned}$$

• *Finite-temperature corrections:* The leading-order corrections to quantities such as  $\mu$  and  $\bar{E}$  for  $T$  close to 0 can be obtained from the first term after the  $T = 0$  one in the low-temperature expansion of the corresponding  $I(\mu, T)$ . The expressions to use for  $\bar{N}$  and  $\bar{E}$  are  $I(\mu, T)$  with  $K(\epsilon) = g(\epsilon)$  and  $\epsilon g(\epsilon)$ , respectively, or

$$\bar{N} = \int_0^\infty d\epsilon \frac{g(\epsilon)}{e^{(\epsilon-\mu)\beta} + 1}, \quad \bar{E} = \int_0^\infty d\epsilon \frac{\epsilon g(\epsilon)}{e^{(\epsilon-\mu)\beta} + 1}.$$

## Thermodynamics

• *Chemical potential:* We expand  $\bar{N}(T, \mu)$  and defining  $T_F := \epsilon_F/k_B$  equate the expression to  $N$  to find

$$\mu = \epsilon_F \left[ 1 - \frac{\pi^2}{12} \frac{T^2}{T_F^2} + \dots \right].$$

• *Heat capacity:* The mean energy is given by  $I(\mu, T)$  with  $K(\epsilon) = \epsilon g(\epsilon)$ , or

$$\bar{E} = \int_0^\infty d\epsilon \frac{\epsilon g(\epsilon)}{e^{(\epsilon-\mu)\beta} + 1} = \bar{E}(0) + (k_B T)^2 g(\mu_0) \frac{\pi^2}{12} = \frac{3}{5} N \epsilon_F + \frac{\pi^2}{4} \frac{T^2}{T_F^2} N \epsilon_F + \dots,$$

from which

$$C_{V,N} = \frac{\pi^2}{6} k_B^2 T g(\mu_0) = \frac{\pi^2}{2} N k_B \frac{T}{T_F} + \dots$$

We see therefore that  $C_{V,N} \rightarrow 0$  as  $T \rightarrow 0$ , consistently with the third law of thermodynamics.

• *Pressure equation of state:* [See the lecture notes on the effects of quantum statistics. It leads to a fermion degeneracy pressure which has applications, e.g., to the structure of white dwarf stars and neutron stars.]

## Reading

- *Course textbook:* Kennett, Ch 8, §§ 8.1-8.2.
- *Other books:* Chandler, § 4.5; Halley, end of Ch 5; Huang, Ch 16; Mattis & Swendsen, Ch 6 (first half); Pathria & Beale, Ch 8 (esp. § 8.1); Plischke & Bergersen, §§ 12.2.4–12.2.5; Reif, § 9.16; Schwabl, § 4.3.