

Phys 726 - Lecture 21

Electron bound states

* Attractive interactions are fundamentally different from repulsive ones

→ competition between kinetic (+ve) and potential (-ve) energy

→ possibility of bound states

* Consider two quantum particles described by coordinates \vec{r}_1 and \vec{r}_2 interacting via a potential $V(\vec{r}_2 - \vec{r}_1)$

→ the pair has a wave function $\psi(\vec{r}_1, \vec{r}_2)$ obeying

$$-\frac{\hbar^2}{2m_1} \nabla_1^2 \psi(\vec{r}_1, \vec{r}_2) - \frac{\hbar^2}{2m_2} \nabla_2^2 \psi(\vec{r}_1, \vec{r}_2) + V(\vec{r}_1 - \vec{r}_2) \psi = E \psi$$

→ Switch to relative ($\vec{r} = \vec{r}_1 - \vec{r}_2$) and center-of-mass ($\vec{R} = \frac{1}{2}(\vec{r}_1 + \vec{r}_2)$) coordinates

↙

$$-\frac{\hbar^2}{2M} \nabla_{\vec{R}}^2 \psi(\vec{r}, \vec{R}) - \frac{\hbar^2}{2\mu} \nabla_{\vec{r}}^2 \psi(\vec{r}, \vec{R}) + V(\vec{r}) \psi(\vec{r}, \vec{R}) = E \psi$$

↑

↑

$$M = m_1 + m_2$$

$$\mu = \left(\frac{1}{m_1} + \frac{1}{m_2} \right)^{-1}$$

→ Since V depends on \vec{r} only, the equation is separable, and we can factor out the wave function of the center-of-mass

$$\psi(\vec{r}, \vec{R}) = e^{i\vec{K} \cdot \vec{R}} \tilde{\psi}(\vec{r})$$

where $\hbar\vec{K}$ is the momentum of the particle pair

→ Substitution gives

$$-\frac{\hbar^2}{2\mu} \nabla_{\vec{r}}^2 \tilde{\psi} + V(\vec{r}) \tilde{\psi} = \underbrace{\left(E - \frac{\hbar^2 K^2}{2M} \right)}_{\text{energy in the center-of-mass frame}} \tilde{\psi} = \tilde{E} \tilde{\psi}$$

energy in the center-of-mass frame

→ Fourier transform

$$\psi(\vec{r}) = \int \frac{d^3k}{(2\pi)^3} e^{i\vec{k}\cdot\vec{r}} \psi(\vec{k})$$

to get a k-space Schrödinger eq.

$$\tilde{E} \psi(\vec{k}) = \frac{\hbar^2 k^2}{2\mu} \psi(\vec{k}) + \int \frac{d^3k'}{(2\pi)^3} V(\vec{k}-\vec{k}') \psi(\vec{k}')$$



let's suppose $m_1 = m_2 = m$

so that $\mu = m/2$.

$$\text{Then } \frac{\hbar^2 k^2}{2\mu} = 2 \frac{\hbar^2 k^2}{2m} \equiv 2\varepsilon_k$$

→ Define a "gap function"

$$\Delta(\vec{k}) = (\tilde{E} - 2\varepsilon_k) \psi(\vec{k})$$

so that

$$\begin{aligned} (\tilde{E} - 2\varepsilon_k) \psi(\vec{k}) = \Delta(\vec{k}) &= \int \frac{d^3k'}{(2\pi)^3} V(\vec{k}-\vec{k}') \psi(\vec{k}') \\ &= \int \frac{d^3k'}{(2\pi)^3} \frac{V(\vec{k}-\vec{k}') \Delta(\vec{k}')}{\tilde{E} - 2\varepsilon_{k'}} \end{aligned}$$

* The Schrödinger equation turns into a self-consistent equation for the gap function

4

$$\Delta(\vec{k}) = \int \frac{d^3k'}{(2\pi)^3} \frac{V(\vec{k}-\vec{k}') \Delta(\vec{k}')}{\tilde{E} - \epsilon_{\vec{k}'}}$$

$$= - \int \frac{d^3k'}{(2\pi)^3} \frac{V(\vec{k}-\vec{k}') \Delta(\vec{k}')}{\epsilon_{\vec{k}'} - \tilde{E}}$$

→ Consider a special case: Sp. Mat $V(r)$ is a contact potential. Then

$$V(r) = U\delta(r) \Rightarrow V(k) = \text{const} = U$$

(no k -dependence!)

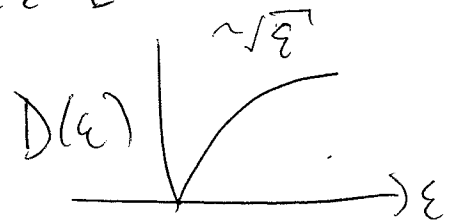
⇒ $\Delta(k) = \Delta_0$ also is independent of the wave vector

$$S_0 \quad \cancel{\Delta_0} = - \int \frac{d^3k'}{(2\pi)^3} \frac{U \cancel{\Delta_0}}{\epsilon_{\vec{k}'} - \tilde{E}}$$

$$\text{or } 1 = -U \int \frac{d^3k}{(2\pi)^3} \frac{1}{2\varepsilon_k - \tilde{E}}$$

$$= -U \int \frac{d^3k}{(2\pi)^3} \int d\varepsilon \delta(\varepsilon - \varepsilon_k) \frac{1}{2\varepsilon - \tilde{E}}$$

$$= -U \int_0^{\infty} d\varepsilon \frac{D(\varepsilon)}{2\varepsilon - \tilde{E}}$$



EXERCISE: (1) Convince yourself that a bound state in this context means $\tilde{E} < 0$ and that the equality is only possible if $U < 0$

(2) In dimensions $D=1, 2, 3$, use the relation

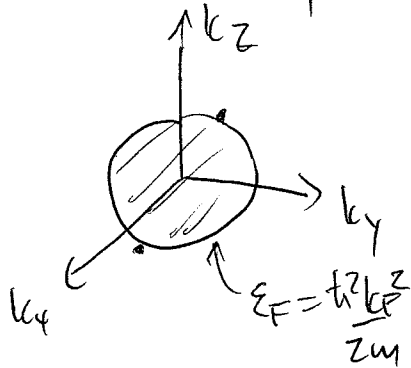
$$1 = |U_c| \int d\varepsilon \frac{D(\varepsilon)}{2\varepsilon}$$

to determine if there is a critical attractive threshold for forming a bound state

* The nature of the pairing problem changes if we add back the many-particle Fermi Sea and the underlying lattice

→ The lowest energy state for two additional particles is $2\varepsilon_F$, where ε_F is the

Fermi energy



→ So the binding energy is now $\varepsilon_b = 2\varepsilon_F - \tilde{E}$

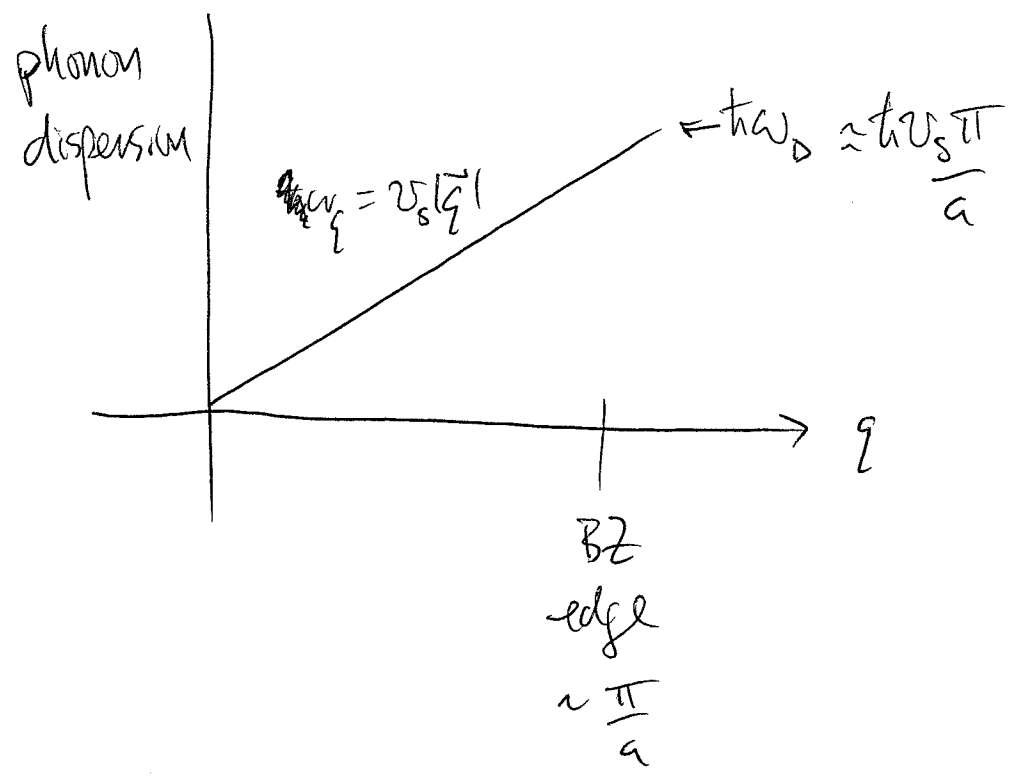
→ The filled Fermi sea excludes most intermediate states from the k summations

$$\Delta(\tilde{E}) = - \int \frac{d^3k'}{(2\pi)^3} \frac{V(\tilde{k} - \tilde{k}') \Delta(\tilde{k}')}{2\varepsilon_{k'} - \tilde{E}}$$

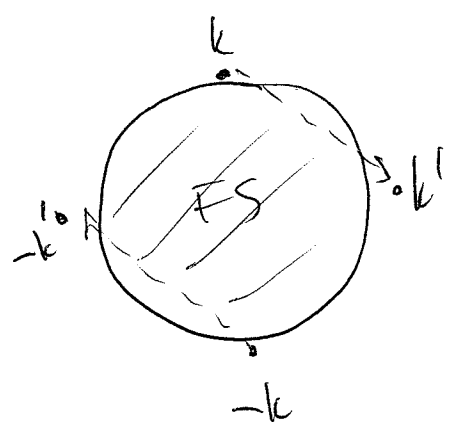
$$\rightarrow - \sum_{k'} \frac{V(\tilde{k} - \tilde{k}') \Delta(\tilde{k}')}{2(\varepsilon_{k'} - \varepsilon_F) + \varepsilon_b}$$

↑
restricted sum over unoccupied states in the BZ

→ Acoustic phonons set the Debye energy scale, which serves as an upper limit on the strength of phonon-mediated electron-electron attraction



→ Model of Cooper pair scattering



$$V(\vec{k}-\vec{k}') = \begin{cases} -V_0 & \text{if } \epsilon_F < |\vec{k}| < \epsilon_F + \hbar\omega_D \\ & \text{and } \epsilon_F < |\vec{k}'| < \epsilon_F + \hbar\omega_D \\ 0 & \text{otherwise} \end{cases}$$

$$\Delta(\epsilon) = - \sum_{\epsilon'} \frac{V(\epsilon - \epsilon') \Delta(\epsilon')}{2(\epsilon_{\epsilon'} - \epsilon_F) + \epsilon_b}$$

becomes

$$1 = V_0 \int_{\epsilon_F}^{\epsilon_F + \hbar\omega_D} d\epsilon \frac{D(\epsilon)}{2(\epsilon - \epsilon_F) + \epsilon_b}$$

$$= \frac{V_0}{2} \int_0^{\hbar\omega_D} d\epsilon \frac{D(\epsilon_F + \epsilon)}{\epsilon + \epsilon_b/2}$$

$$= \frac{V_0}{2} \int_0^{\hbar\omega_D} d\epsilon \frac{D(\epsilon_F) + D'(\epsilon_F)\epsilon + \dots}{\epsilon + \epsilon_b/2}$$

negligible over small energy window $[0, \hbar\omega_D]$

$$\approx \frac{V_0}{2} D(\epsilon_F) \log \left(\frac{\hbar\omega_D + \epsilon_b/2}{\epsilon_b/2} \right)$$

$$\Rightarrow \exp \left(\frac{2}{V_0 D(\epsilon_F)} \right) = \frac{2\hbar\omega_D + \epsilon_b}{\epsilon_b} \approx \frac{2\hbar\omega_D}{\epsilon_b}$$

$$\Rightarrow \epsilon_b \approx 2\hbar\omega_D e^{-2/(V_0 D(\epsilon_F))}$$

exponentially small gap for arbitrarily small $V_0 D(\epsilon_F)$

* Energy of the electron pair

$$E_{\text{pair}} = 2\varepsilon_F - 2\hbar\omega_D e^{-2/V_0 D(\varepsilon_F)}$$

falls below the Fermi level

→ Cooper pair formation destabilizes
the Fermi Sea