### The Free Fermion Gas and Electrons in Metals

• The system: Formally, we will treat a gas of N free fermions in thermal equilibrium at temperature T in a box of volume V. Physically, however, this can be used as a model for the conducting electrons inside a metal (for which the mutual interactions can often be neglected if we consider them as cancelled by the presence of the nuclei); in this case, the energies below are all to be considered as just representing the kinetic energies above the bottom of the conduction band.

• Goal: We want to study properties of the occupation number distribution  $\bar{N}(\epsilon_{\alpha})$  as a function of energy, and use it to calculate the mean energy and heat capacity at low temperatures. If the gas is used to model conduction electrons in a metal, this  $C_V$  will be their contribution to the total value for the solid.

• Setup: For convenience, we will model the system using a grand canonical ensemble. Thus, in principle, the total particle number is not fixed. However, in the thermodynamic limit N is very strongly peaked around  $\bar{N}$ , so if we find  $\bar{N}(\beta,\mu)$  we can substitute the fixed N for  $\bar{N}$  in this expression and invert it to find  $\mu(\beta, N)$ , which can then be used to calculate other thermodynamic quantities.

• Density of states: If we assume that f and the one-particle energies  $\epsilon(k)$  depend only on the magnitude k of  $\mathbf{k}$ , and not on  $\gamma$ , the calculation of the density of states for free electrons proceeds in the same way as for massive bosons and we find, using  $g_s = 2$  for the number of spin states of an electron,

$$g(\epsilon) = g_s \frac{4\pi V}{(2\pi)^3} k^2 \frac{\mathrm{d}k}{\mathrm{d}\epsilon} = \frac{g_s V}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \sqrt{\epsilon} \; . \label{eq:generalized_states}$$

• Occupation-number distribution: The mean number of particles in a single-particle state of energy  $\epsilon$  for fermions is given by the Fermi function, derived earlier,

$$\bar{N}(\epsilon) = F(\epsilon) = \frac{1}{\mathrm{e}^{(\epsilon-\mu)\beta}+1} = \frac{1}{z^{-1}\mathrm{e}^{\beta\epsilon}+1} \ .$$

Notice that at any (non-zero) temperature, the value of the Fermi function at  $\epsilon = \mu$  is  $F(\mu) = \frac{1}{2}$ ; in the  $T \to \infty$  limit, instead,  $F(\epsilon)$  approximates the Maxwell-Boltzmann distribution.

Using  $g(\epsilon)$  and  $\bar{N}(\epsilon)$  we can now write down, as the starting point for thermodynamical calculations,

$$\bar{N} = \sum_{\alpha} \bar{N}_{\alpha} \approx \int_{0}^{\infty} \mathrm{d}\epsilon \, g(\epsilon) \, \bar{N}(\epsilon) \;, \qquad \bar{E} = \sum_{\alpha} \bar{N}_{\alpha} \epsilon_{\alpha} \approx \int_{0}^{\infty} \mathrm{d}\epsilon \, g(\epsilon) \, \bar{N}(\epsilon) \, \epsilon \;.$$

## **Zero-Temperature Quantities**

• Occupation-number distribution: At T = 0 the mean number of particles above in the single-particle state  $\alpha$  becomes a step function whose value equals 1 for  $\epsilon_{\alpha} < \mu$ , and 0 for  $\epsilon_{\alpha} > \mu$  (and by continuity we still set  $\bar{N}(\mu) = \frac{1}{2}$ ). Thus,  $\mu_0 = \mu(0)$  cannot be zero and we wish to find its value. Notice that, contrary to what happens in the case of bosons, in this case  $0 \le \bar{N}_{\alpha} \le 1$  for any  $\beta$  and z, so the value of z is now unrestricted.

• Fermi energy and temperature: At T = 0 we can calculate exactly the sum for the mean number of particles as a function of  $\mu_0$ ; setting then  $\bar{N} = N$  gives an explicit expression for  $\mu_0$  in terms of N. For electrons, since up to  $\epsilon = \mu_0$  each state is occupied by exactly one electron,

$$\bar{N} = \int_0^{\mu_0} \mathrm{d}\epsilon \, g(\epsilon) = \frac{2}{3} \, \frac{V m^{3/2} \mu_0^{3/2}}{\sqrt{2} \, \pi^2 \hbar^3} \,, \qquad \text{or} \qquad \mu_0 = \left(3\pi^2 \, \frac{N}{V}\right)^{2/3} \frac{\hbar^2}{2m} =: \epsilon_\mathrm{F} \qquad (\text{the Fermi energy}) \,.$$

From  $\bar{N}(\epsilon)$  we see that at  $T > T_{\rm F} = \epsilon_{\rm F}/k_{\rm B}$  thermal fluctuations start populating energy levels above  $\epsilon_{\rm F} = \mu_0$ . • Mean energy: Using the Fermi energy, a similar calculation for the mean energy gives now

$$\bar{E} = \int_0^{\mu_0} \mathrm{d}\epsilon \,\epsilon \, g(\epsilon) = \frac{3}{5} \,\mu_0 N \;, \qquad \mathrm{or} \qquad \bar{\epsilon} = \frac{3}{5} \,\epsilon_\mathrm{F}$$

#### **Small-Temperature Quantities**

• Useful integrals: When evaluating the finite-temperature corrections for quantities such as  $\bar{N}$  and  $\bar{E}$ , we will need to calculate integrals of the following form, for some function  $K(\epsilon)$ :

$$I(\mu, T) = \int_0^\infty \mathrm{d}\epsilon \, K(\epsilon) \, \bar{N}(\epsilon) = \int_0^\infty \mathrm{d}\epsilon \, \frac{K(\epsilon)}{\mathrm{e}^{(\epsilon-\mu)\beta} + 1}$$

For low temperatures  $T \ll T_{\rm F} = \epsilon_{\rm F}/k_{\scriptscriptstyle B}$  we can evaluate  $I(\mu, T)$  as a power series expansion around T = 0, where  $I(\mu, 0) = \int_0^{\mu} d\epsilon K(\epsilon)$ . To proceed, introduce a new variable  $x := \beta(\mu - \epsilon) \in (-\infty, \beta\mu)$  and write

$$\begin{split} I(\mu,T) &= -k_{\rm B}T \bigg[ \int_{\beta\mu}^{0} \mathrm{d}x \, \frac{K(\mu - k_{\rm B}T \, x)}{\mathrm{e}^{-x} + 1} + \int_{0}^{-\infty} \mathrm{d}x \, \frac{K(\mu - k_{\rm B}T \, x)}{\mathrm{e}^{-x} + 1} \bigg] \\ &= \int_{0}^{\mu} \mathrm{d}\epsilon \, K(\epsilon) - k_{\rm B}T \int_{0}^{\beta\mu} \mathrm{d}x \, \frac{K(\mu - k_{\rm B}T \, x)}{\mathrm{e}^{x} + 1} + k_{\rm B}T \int_{0}^{\infty} \mathrm{d}x \, \frac{K(\mu + k_{\rm B}T \, x)}{\mathrm{e}^{x} + 1} \end{split}$$

where we have separated the parts with x > 0 and x < 0, used the identity  $1/(e^{-x} + 1) = 1 - 1/(e^x + 1)$ in the first term and replaced  $x \mapsto -x$  in the second one, and restored  $\epsilon$  in the first resulting integral. The second integral can be extended to  $+\infty$  with an excellent approximation as  $T \to 0$ . The second and third integral then become similar, and expanding terms in powers of T we get

$$\begin{split} I(\mu,T) &= \int_0^{\mu_0} \mathrm{d}\epsilon \, K(\epsilon) + (\mu - \mu_0) \, K(\mu_0) + \mathcal{O}(\mu - \mu_0)^2 \, + \\ &+ \, 2 \, K'(\mu_0) \, (k_{\mathrm{\scriptscriptstyle B}}T)^2 \int_0^\infty \! \frac{x \, \mathrm{d}x}{\mathrm{e}^x + 1} + \frac{2}{3!} \, K'''(\mu_0) \, (k_{\mathrm{\scriptscriptstyle B}}T)^4 \int_0^\infty \! \frac{x^3 \, \mathrm{d}x}{\mathrm{e}^x + 1} + \dots \, . \end{split}$$

• Finite-temperature corrections: The leading-order correction to each quantity such as  $\mu$  and  $\overline{E}$  for T > 0 can be obtained from the first term after the T = 0 one in the low-temperature expansion of the corresponding  $I(\mu, T)$ . The expressions to use for  $\overline{N}$  and  $\overline{E}$  are  $I(\mu, T)$  with  $K(\epsilon) = g(\epsilon)$  and  $\epsilon g(\epsilon)$ , respectively, or

$$\bar{N} = \int_0^\infty \mathrm{d}\epsilon \, \frac{g(\epsilon)}{\mathrm{e}^{(\epsilon-\mu)\beta}+1} \,, \qquad \bar{E} = \int_0^\infty \mathrm{d}\epsilon \, \frac{\epsilon \, g(\epsilon)}{\mathrm{e}^{(\epsilon-\mu)\beta}+1}$$

# Thermodynamics

- Chemical potential: We expand  $\overline{N}(T,\mu)$  and equate the expression to N to find  $\mu$ .
- Heat capacity: The mean energy is given by  $I(\mu, T)$  with  $K(\epsilon) = \epsilon g(\epsilon)$ , or

$$\bar{E} = \int_0^\infty \mathrm{d}\epsilon \, \frac{\epsilon \, g(\epsilon)}{\mathrm{e}^{(\epsilon-\mu)\beta} + 1} = \bar{E}(0) + (k_{\rm\scriptscriptstyle B}T)^2 \, g(\mu_0) \, \frac{\pi^2}{12} \; ,$$

from which

$$C_{V\!,N} = \frac{\pi^2}{6} \, k_{\scriptscriptstyle\rm B}^2 T \, g(\mu_0) \; . \label{eq:CVN}$$

• Remark: From the principle of equipartition we might have expected a constant  $C_{V,N}$ . But, since in general

$$C_{V,N} = T \left. \frac{\partial S}{\partial T} \right|_{V,N} \,,$$

this would have implied a  $S \to -\infty$  at low T, which is inconsistent with the third law of thermodynamics.

• Pressure equation of state: [See the lecture notes on the effects of quantum statistics. It leads to a fermion degeneracy pressure which has applications, e.g., to the structure of white dwarf stars and neutron stars.]

#### Reading

• Course textbook: Kennett, Ch 8, Sections 8.1-8.2.

<sup>•</sup> Other books: Chandler, §4.5; Halley, end of Ch 5; Huang, Ch 16; Mattis & Swendsen, Ch 6 (first half); Pathria & Beale, Ch 8, in particular §8.1; Plischke & Bergersen, §§12.2.4–12.2.5; Reif, §9.16; Schwabl, §4.3.