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# Spin alignment as a diagnostic of black-hole binary formation

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- 1) Spin in stellar-mass BH binaries
  - 2) Astrophysics and post-Newtonian
  - 3) Spin-orbit resonance locking
  - 4) Role of tides and mass transfer
  - 5) Can Advanced LIGO reconstruct the physics of population synthesis?

# Properties of stellar-mass black holes

Mass  $m$

Spin  $\mathbf{S} = \chi \frac{Gm^2}{c} \hat{\mathbf{S}}$  (with  $0 < \chi < 1$ )

Mass estimates:

LMXBs

Spin estimates:

- ✓ Continuum fitting
- ✓ Line spectroscopy

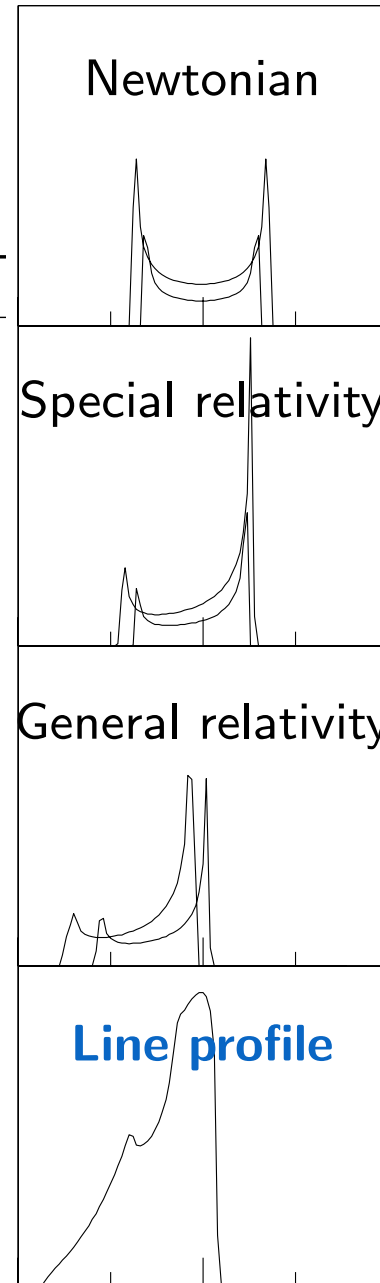
Model-dependent – highly uncertain!

Theoretical expectation:  
black holes retain natal spin

[King & Kolb, astro-ph/9901296]

[Belczynski++, astro-ph/0703131]

System	Estimated spin	Method
Stellar-mass BHs		
Cygnus X-1	$0.05 \pm 0.01$	Line spectroscopy
LMC X-3	$\approx 0.2-0.4$	Continuum
4U 1543-475	$0.3 \pm 0.1$	Line spectroscopy
	$0.75-0.85$	Continuum
SAX J1711.6-3808	$0.6_{-0.4}^{+0.2}$	Line spectroscopy
XTE J1550-564	$\approx 0.1-0.8$	Continuum
	$0.76 \pm 0.01$	Line spectroscopy
SWIFT J1753.5-0127	$0.76_{-0.15}^{+0.11}$	Line spectroscopy
M33 X-7	$0.77 \pm 0.05$	Continuum
XTE J1908+094	$0.75 \pm 0.09$	Line spectroscopy
XTE J1650-500	$0.79 \pm 0.01$	Line spectroscopy
GRS 1915+105	$0.7-0.8$	Continuum
	$0.98-1$	Continuum
LMC X-1	$0.90_{-0.09}^{+0.04}$	Continuum
GX 339-4	$0.94 \pm 0.02$	Line spectroscopy
GRO J1655-40	$\geq 0.25$	QPOs
	$0.65-0.75$	Continuum
	$\approx 0.1-0.8$	Continuum
	$0.98 \pm 0.01$	Line spectroscopy
XTE J1655-40	$\approx 1$	Line spectroscopy
XTE J1550-564	$\approx 1$	Line spectroscopy



# Black-hole binary formation rates

Compact binary formation rates depend on poorly known physics:

- ❑ **Supernova kicks** can unbind binary
- ❑ **Common-envelope phase** crucial – envelope binding energy  $\lambda$ ?
- ❑ **Mass transfer**
- ❑ **Tidal interactions**

“Official” rates for Initial and Advanced LIGO [LSC, 1003.2480]

IFO	Source <sup>a</sup>	$\dot{N}_{\text{low}}$ yr <sup>-1</sup>	$\dot{N}_{\text{re}}$ yr <sup>-1</sup>	$\dot{N}_{\text{high}}$ yr <sup>-1</sup>	$\dot{N}_{\text{max}}$ yr <sup>-1</sup>
Initial	NS-NS	$2 \times 10^{-4}$	0.02	0.2	0.6
	NS-BH	$7 \times 10^{-5}$	0.004	0.1	
	BH-BH	$2 \times 10^{-4}$	0.007	0.5	
	IMRI into IMBH			$< 0.001^b$	$0.01^c$
	IMBH-IMBH			$10^{-4d}$	$10^{-3e}$
Advanced	NS-NS	0.4	40	400	1000
	NS-BH	0.2	10	300	
	BH-BH	0.4	20	1000	
	IMRI into IMBH			$10^b$	$300^c$
	IMBH-IMBH			$0.1^d$	$1^e$

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- ❑ **Mass transfer**
- ❑ **Tidal interactions**
- ❑ **Metallicity**

“Updated” rates for Advanced LIGO [1208.0358; see also 1202.4901]

ADVANCED LIGO/VIRGO DETECTION RATES [YR<sup>-1</sup>] <sup>a</sup>

Model	NS-NS	BH-NS	BH-BH
S	3.9 (1.3)	9.7 (5.1)	7993.4 (518.7)
V5	3.9 (1.3)	9.4 (4.8)	8057.8 (533.7)
V6	3.9 (1.3)	9.3 (4.7)	8041.7 (523.6)
V7	5.0 (1.5)	14.8 (8.3)	8130.1 (574.2)
V8	3.9 (1.3)	1.2 (0.3)	172.2 (14.0)
V9	3.9 (1.3)	11.8 (6.7)	8363.6 (654.9)
V10	5.2 (1.7)	5.7 (4.9)	7762.7 (487.0)
V11	3.9 (1.1)	10.5 (6.3)	12434.4 (888.1)
V12	11.7 (0.8)	7.6 (5.8)	8754.6 (275.3)
V13	3.7 (0.9)	76.9 (62.1)	1709.6 (966.1)

# Spin alignment and resonance locking

Spin alignment is affected by the same physics:

- ❑ **Supernova kicks:** misalignment [Kalogera, astro-ph/9911417]
- ❑ **Tidal interactions:** asymmetry
- ❑ **Mass transfer:** selection of primary (standard/reversed mass ratio)

Late-time evolution well approximated by post-Newtonian dynamics

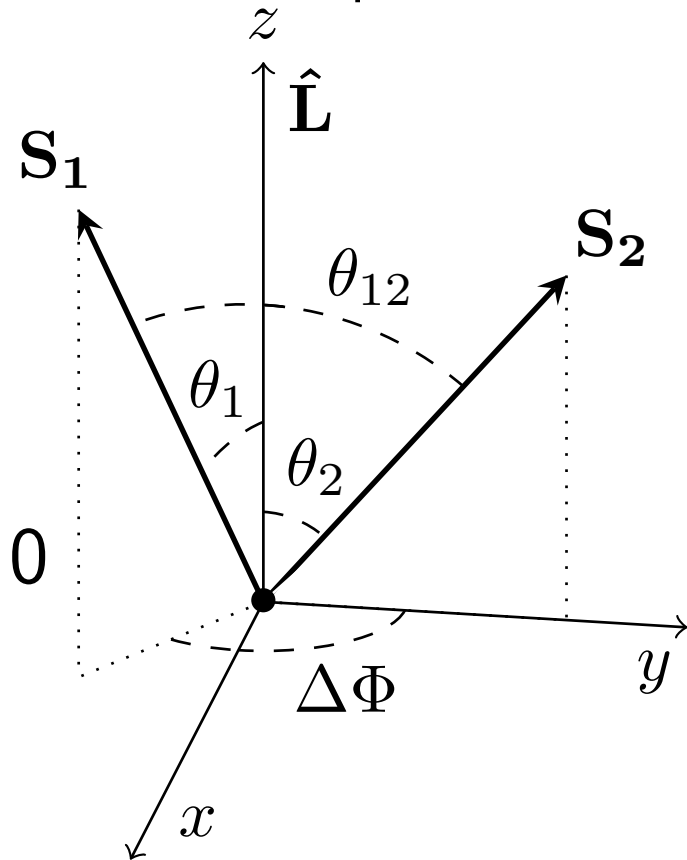
Alignment depends on astrophysical initial conditions: inverse problem?

$$\mathbf{S}_2 \cdot (\mathbf{L} \times \mathbf{S}_1) = 0$$

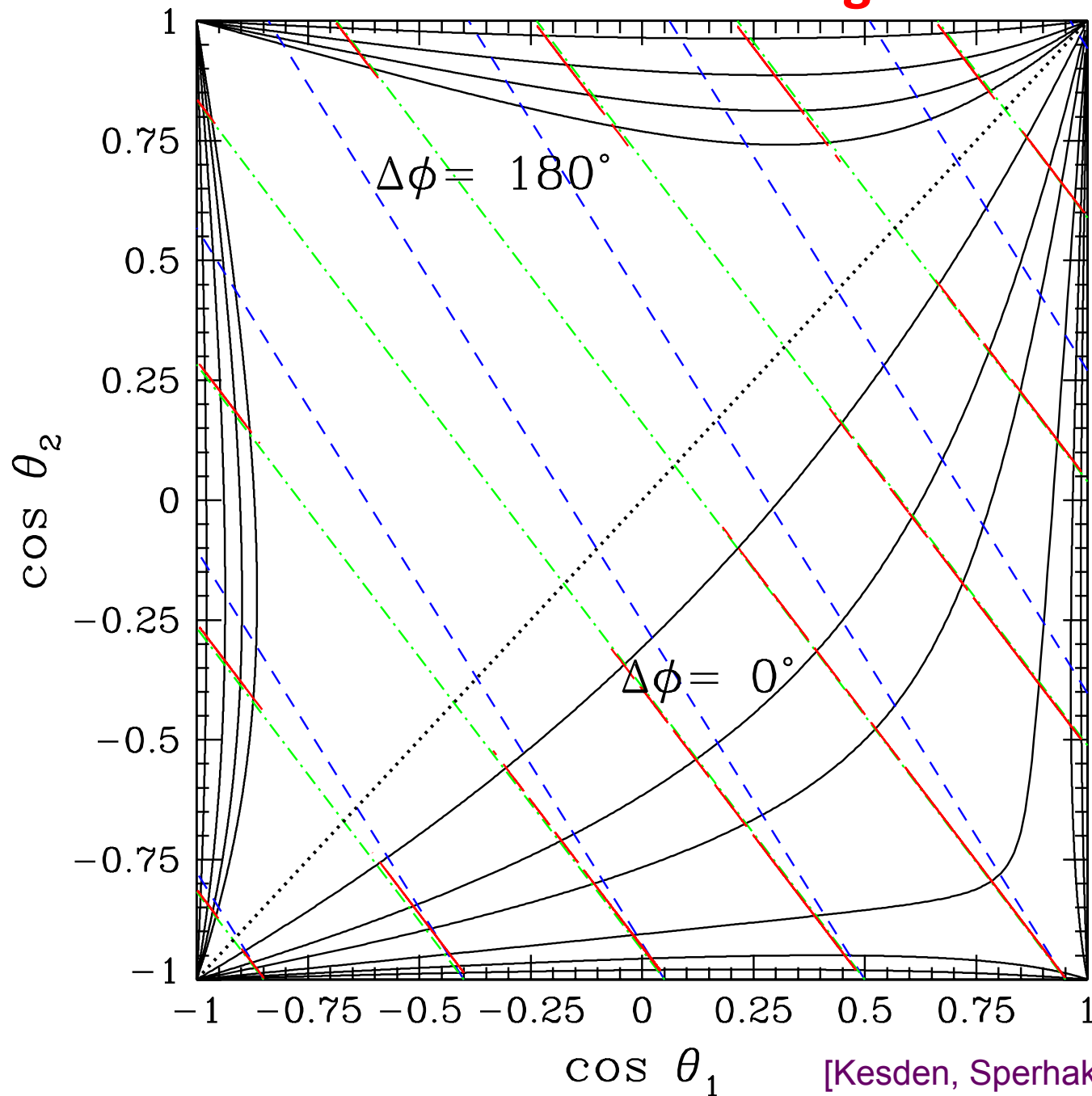
$$\frac{d}{dt} \mathbf{S}_2 \cdot (\mathbf{L} \times \mathbf{S}_1) = 0$$

$$\theta_1 < \theta_2 : \quad \Delta\Phi \rightarrow 0 \quad \text{and} \quad \theta_{12} \rightarrow 0$$

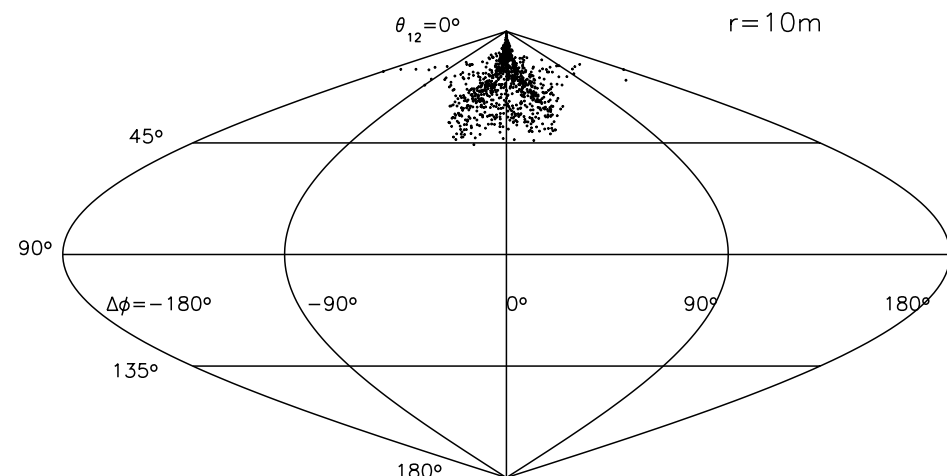
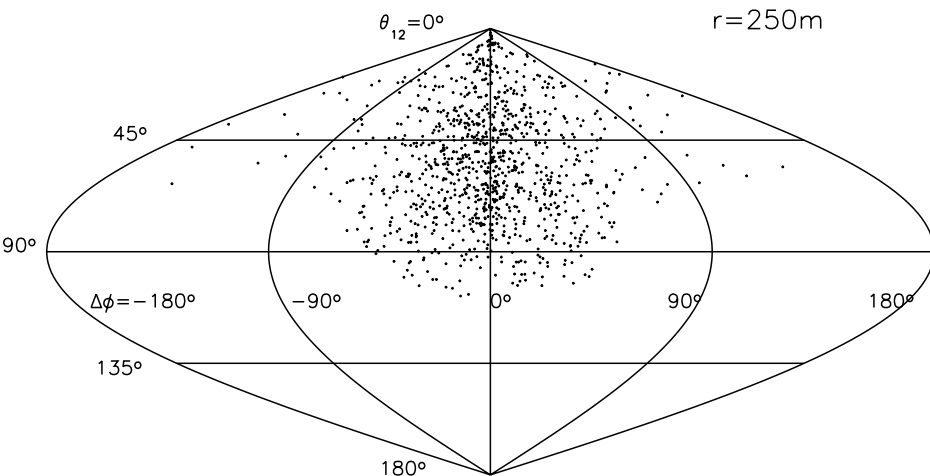
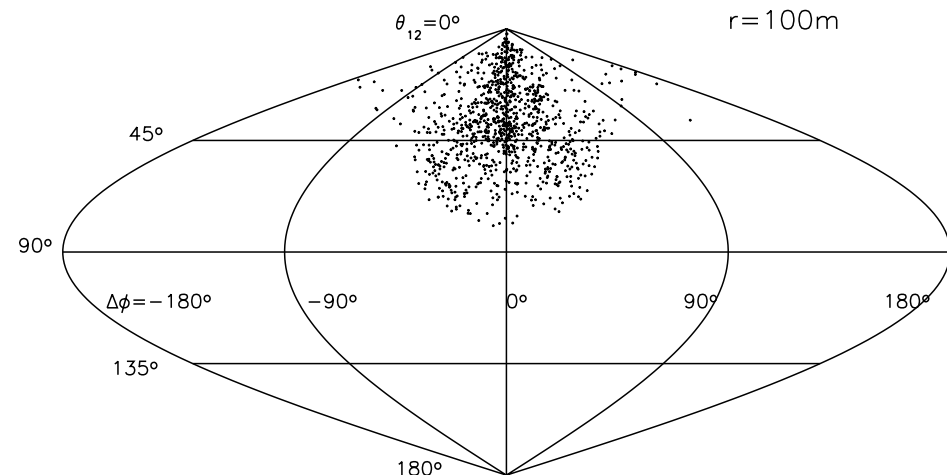
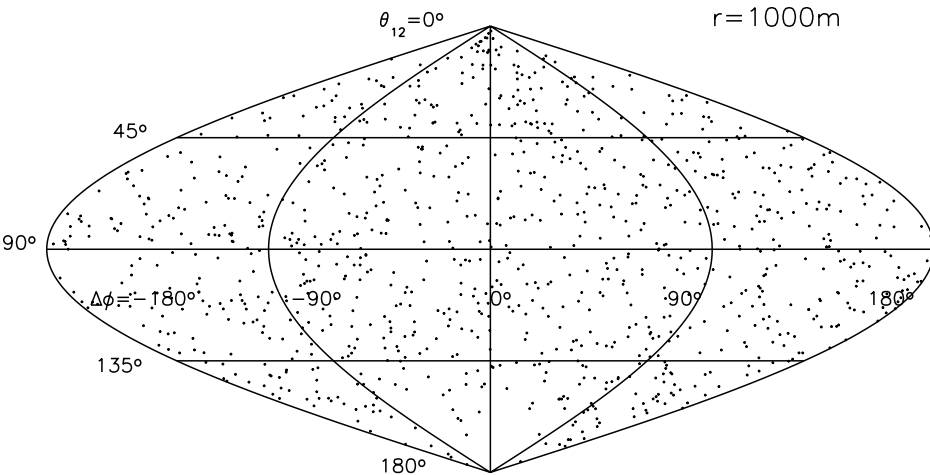
$$\theta_1 > \theta_2 : \quad \Delta\Phi \rightarrow \pm\pi$$



# Resonance locking



# Spin-orbit resonances and spin alignment

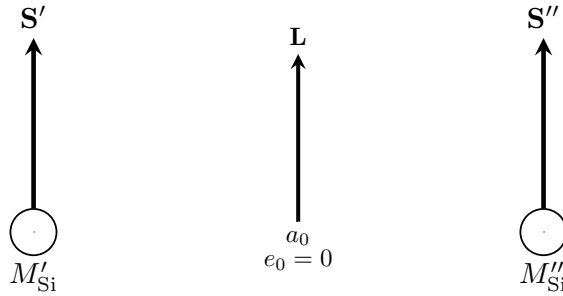


$\theta_1(t_0) = 10$  degrees, evolution starts at  $r = 1000M$

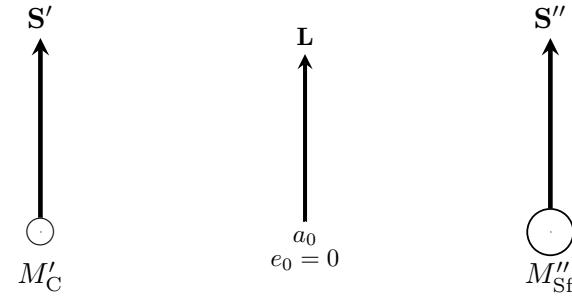


# Astrophysical initial conditions: a simple model

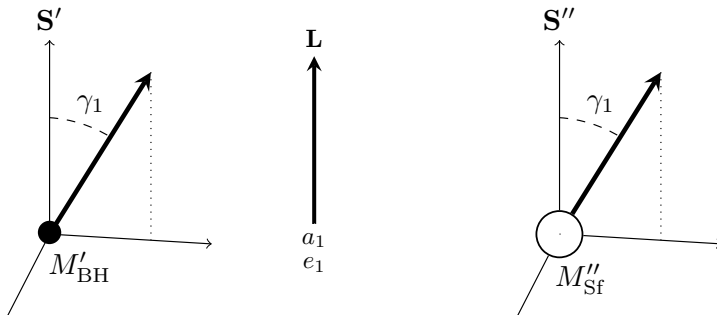
## 1. Upper main sequence



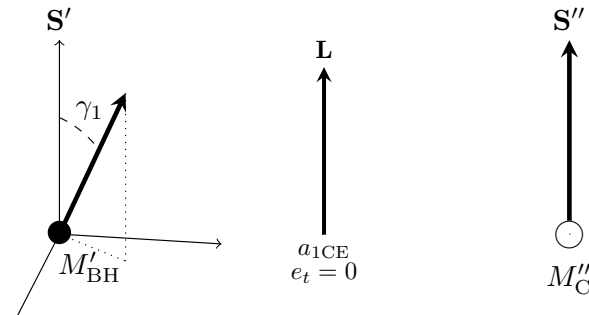
## 2. Mass-transfer phase



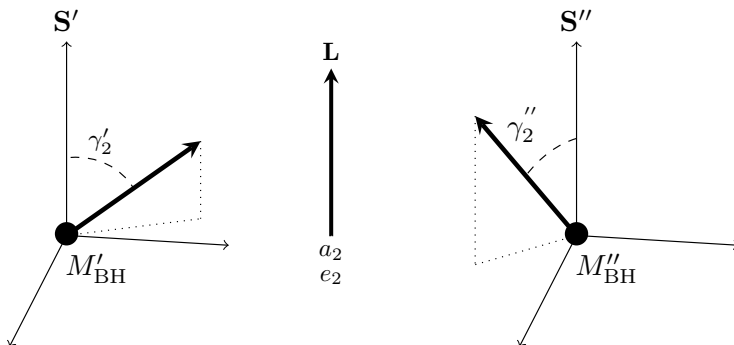
## 3. 1<sup>st</sup> Supernova explosion



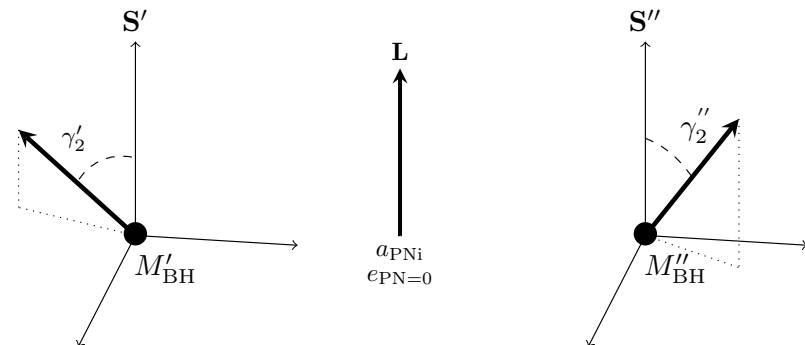
## 4. Tides, common-envelope, BH precession



## 5. 2<sup>nd</sup> Supernova explosion

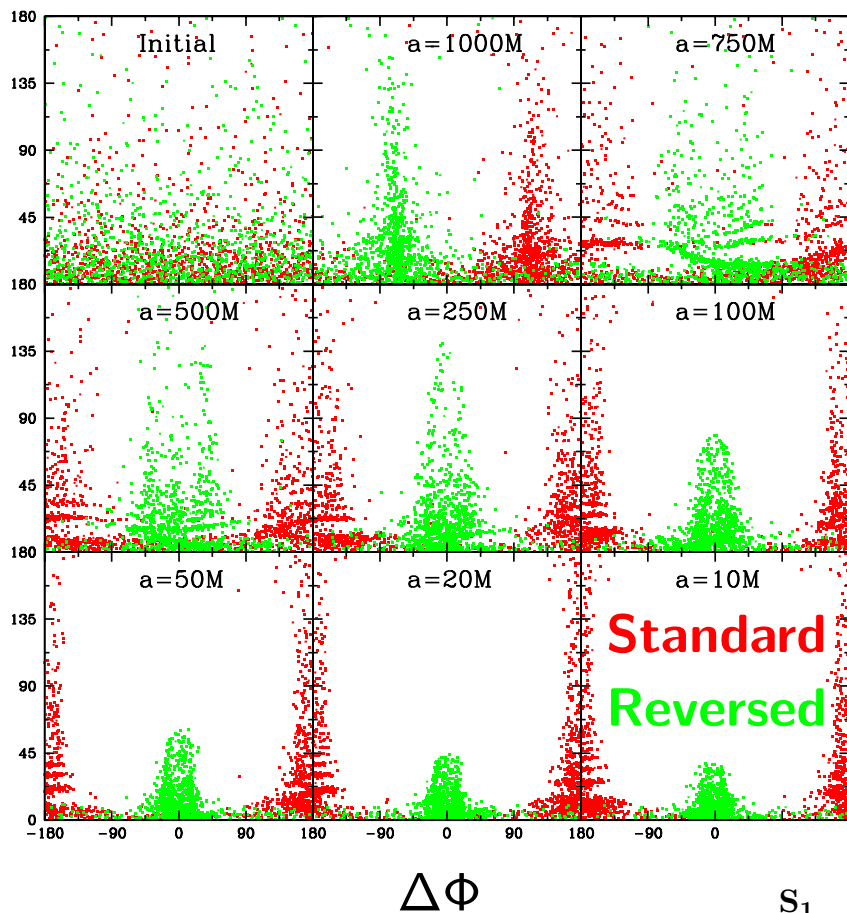


## 6. Post-Newtonian evolution

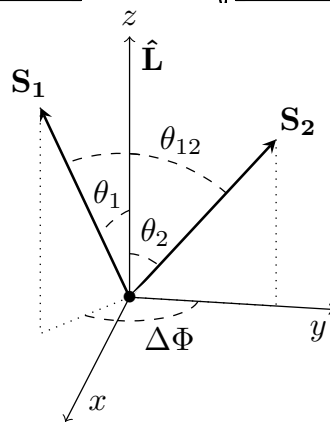
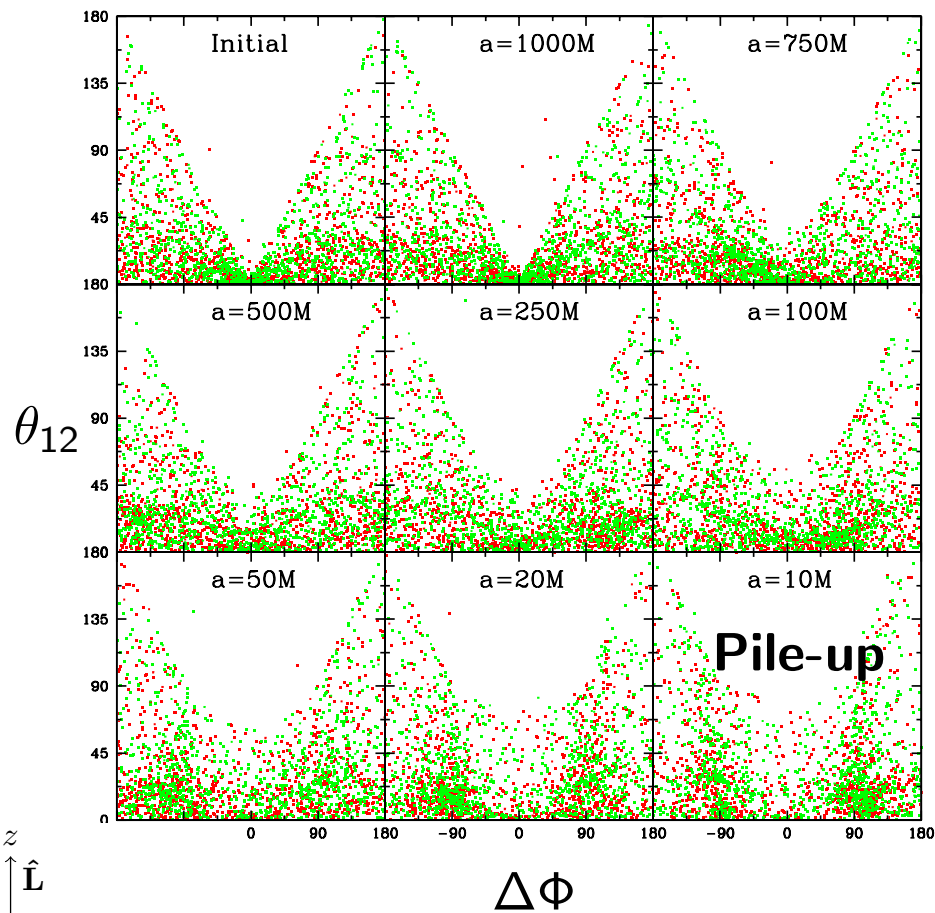


# Effect of tides and mass-ratio reversal

## Tides



## No Tides



# Inverse problem: binary evolution from GW observations

[Gerosa++, 1302.4442]

Merging BH binary

Efficient tides

No tides

Resonant plane locking  
 $\mathbf{L} \cdot \mathbf{S}_1 \times \mathbf{S}_2 \simeq 0$   
 $\sin \Delta\Phi \simeq 0$  (equilibrium)

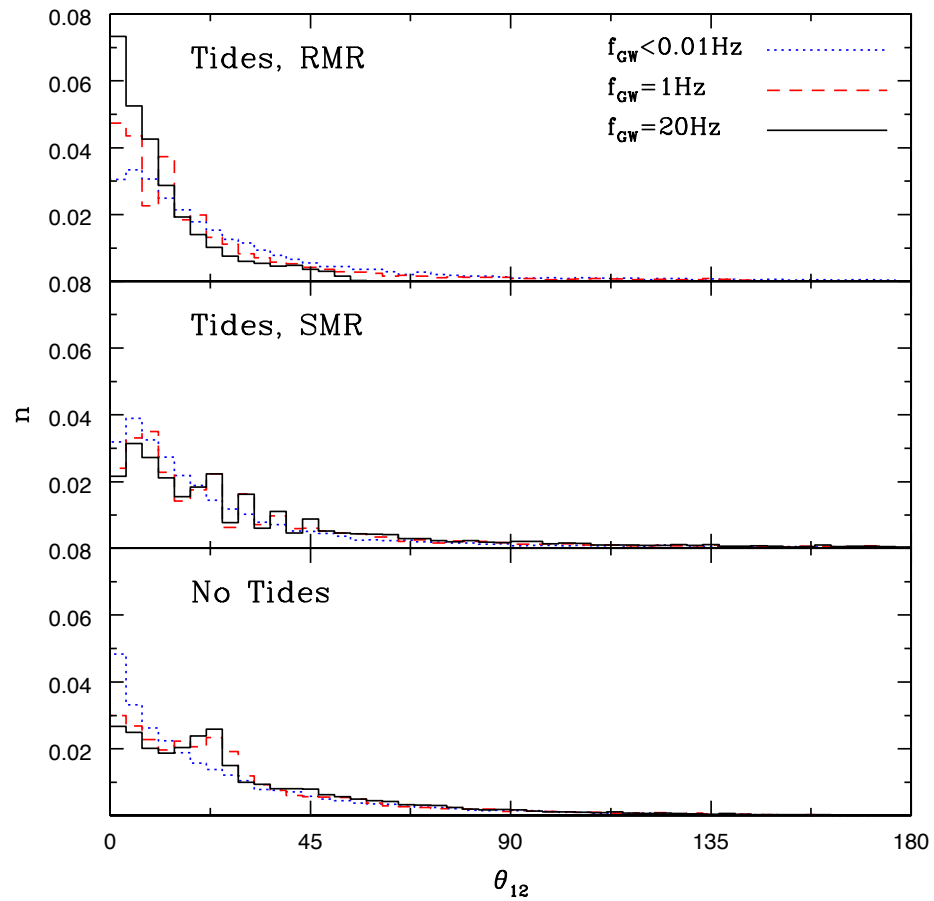
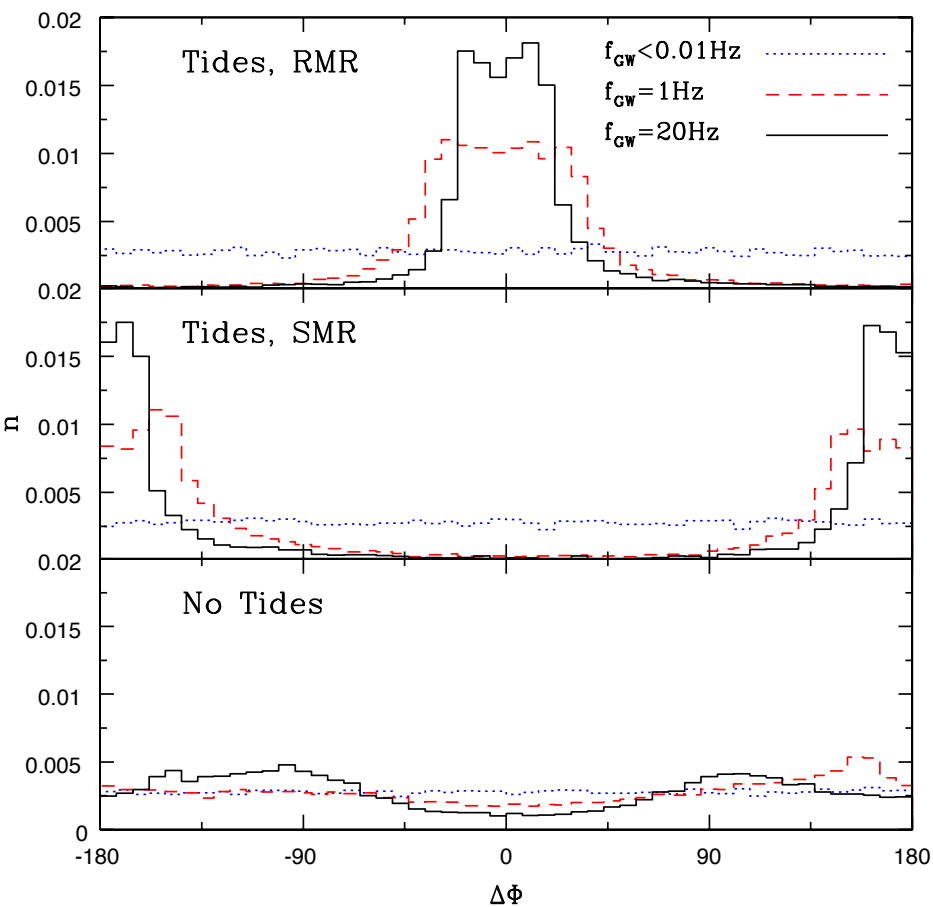
Free precession  
 $\sin \Delta\Phi \simeq \pm 1$  (pile-up)

Reversed mass ratio

Standard mass ratio

Weakly precessing binaries  
 $\Delta\Phi \simeq 0^\circ$   
 $\theta_{12} \simeq 0^\circ$

Resonant precession  
 $\Delta\Phi \simeq \pm 180^\circ$   
 $\theta_{12} \neq 0^\circ$  (tail)

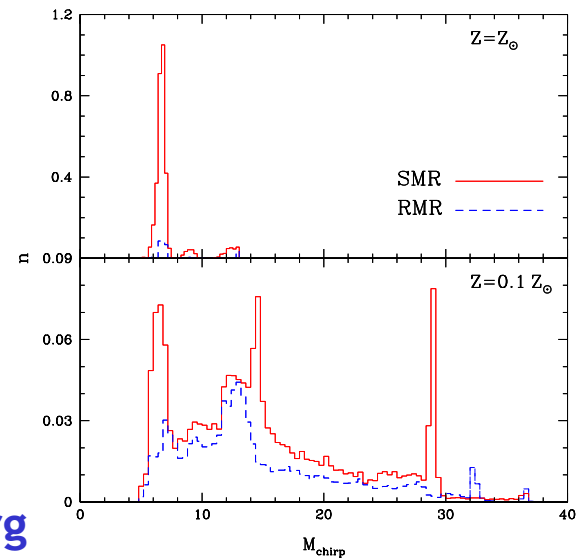


# Outlook

Implement spin evolution in population synthesis codes!  
 What are the obstacles? What shall we learn?

- ❑ **Combine measurements of  $\Delta\Phi$  and (chirp) mass**  
 How accurate can these be? Systematics?
- ❑ **Low metallicity: more likely mass-ratio reversal**
- ❑ **Envelope binding energy  $\lambda$  (variations 1-4)**
- ❑ **Supernova kick strength (variations 8 and 9)**
- ❑ **Wind mass loss (variation 11)**

<http://www.syntheticuniverse.org>



Variation	Subvariation A $Z/Z_{\odot} = 0.1$			Subvariation B $Z/Z_{\odot} = 0.1$			Subvariation A $Z/Z_{\odot} = 1$			Subvariation B $Z/Z_{\odot} = 1$		
	SMR	RMR	#	SMR	RMR	#	SMR	RMR	#	SMR	RMR	#
0: Standard	63.2%	36.8%	32496	66.8%	33.2%	17038	91.9%	8.1%	10160	92.9%	7.1%	8795
1: $\lambda = 0.01$	67.9%	32.1%	12368	67.4%	32.6%	11401	93.6%	6.4%	8171	93.6%	6.4%	8171
2: $\lambda = 0.1$	62.7%	37.3%	27698	65.2%	34.8%	16885	88.9%	11.1%	11977	92.1%	7.9%	8577
3: $\lambda = 1$	54.2%	45.8%	51806	65.7%	34.3%	19415	79.1%	20.9%	15820	91.6%	8.4%	8442
4: $\lambda = 10$	50.1%	49.9%	50884	62.9%	37.1%	17939	73.2%	26.8%	14425	91.6%	8.4%	8321
5: $M_{\text{NS}} = 3M_{\odot}$	62.5%	37.5%	32236	66.2%	33.8%	16868	91.6%	8.4%	9972	92.8%	7.2%	8589
6: $M_{\text{NS}} = 2M_{\odot}$	62.3%	37.7%	32535	65.9%	34.1%	16804	91.5%	8.5%	9922	92.5%	7.5%	8590
7: $\sigma = 132.5\text{km/s}$	58.2%	41.8%	36546	63.1%	36.9%	18935	88.9%	11.1%	11099	89.6%	10.4%	9334
8: $v_k = v_{\text{obs}}$ (BHs)	56.2%	43.8%	948	72.5%	27.5%	207	56.2%	43.8%	16	0%	100%	2
9: $v_k = 0$ (BHs)	56.3%	43.7%	52832	58.8%	41.2%	34569	66.3%	33.7%	35267	65.2%	34.8%	32547
10: Delayed SN	61.4%	38.6%	27310	66.3%	33.7%	13841	81.5%	18.5%	1032	81.2%	18.8%	881
11: Weak winds	58.4%	41.6%	33872	63.6%	36.4%	17765	70.5%	29.5%	21786	64.2%	35.8%	16182

# Summary

- ❑ Compact binary **formation rates** depend on poorly known physics:
  - ✓ Supernova kicks
  - ✓ Tidal interactions
  - ✓ Mass transfer
  - ✓ Metallicity
  - ✓ Common-envelope evolution
  
- ❑ Spin alignment is crucially affected by the same physics
  - ✓ Supernova kicks: misalignment
  - ✓ Tides: asymmetry ( $\theta_1 < \theta_2$ ?)
  - ✓ Mass transfer: selection of primary ( $m_1 > m_2$ ?)
  
- ❑ Resonance locking implies that this physics affects observable distribution of precessional configurations
  
- ❑ Black-hole binary formation astrophysics with Advanced LIGO!
  - ✓ Assess systematic/statistical errors
  - ✓ Combine with additional information (mass distribution...)